

June 17, 2014

Melanie Mortimer
SIFMA Foundation for Investor Education
120 Broadway, 35F,
New York NY 10271

Reference: **FX2014-0617-0191/H**

Org Id :91055

REVIEW LETTER

1. What is A Stock? (Presentation for 4th-12th Graders)

Rule: FIN 2210

10 pages

Fee: \$0

Total Fee: \$0

Attention: Melanie Mortimer

The material submitted appears consistent with applicable standards.

Reviewed by,

Joseph S. George
Manager

jrk

***NOTE:** This review is limited to the communication that was filed. We assume that the communication does not omit material facts, contain statements that are not factual, or offer opinions that do not have a reasonable basis. This communication may be described as "Reviewed by FINRA" or "FINRA Reviewed"; however, there must be no statement or implication that this communication has been approved by FINRA.*

Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

June 17, 2014

Melanie Mortimer
SIFMA Foundation for Investor Education
120 Broadway, 35F,
New York NY 10271

Reference: **FX2014-0617-0190/H**

Org Id :91055

REVIEW LETTER

1. Capital Markets -Video for 4th -12th Graders
Rule: FIN 2210
1 page

Fee: \$0

Total Fee: \$0

Attention: Melanie Mortimer

The material submitted appears consistent with applicable standards.

Reviewed by,

Joseph S. George
Manager

jrk

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SIFMA Foundation for Investor Education
120 Broadway, 35F,
New York NY 10271

Reference: **FX2014-0617-0189/H**

Org Id :91055

REVIEW LETTER

1. SIFMA Foundation - Capital Markets Unit 1
Rule: FIN 2210
10 pages

Fee: \$0

Total Fee: \$0

Attention: Melanie Mortimer

The material submitted appears consistent with applicable standards.

Reviewed by,

Joseph S. George
Manager

jrk

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SIFMA Foundation for Investor Education
120 Broadway, 35F,
New York NY 10271

Reference: **FX2014-0617-0196/H**

Org Id :91055

REVIEW LETTER

1. Buy, Sell or Hold - Presentation For High School Level
Rule: FIN 2210
9 pages

Fee: \$0

Total Fee: \$0

Attention: Melanie Mortimer

The material submitted appears consistent with applicable standards.

Reviewed by,

Joseph S. George
Manager

jrk

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June 17, 2014

Melanie Mortimer
SIFMA Foundation for Investor Education
120 Broadway, 35F,
New York NY 10271

Reference: **FX2014-0617-0195/H**

Org Id: 91055

REVIEW LETTER

1. Buy, Sell or Hold - Presentation For Elementary/Middle School
Rule: FIN 2210
9 pages

Fee: \$0

Total Fee: \$0

Attention: Melanie Mortimer

The material submitted appears consistent with applicable standards.

Reviewed by,

Joseph S. George
Manager

jrk

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June 17, 2014

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120 Broadway, 35F,
New York NY 10271

Reference: **FX2014-0617-0193/H**

Org Id :91055

REVIEW LETTER

1. What Causes Stock Prices to Change? (Presentation for 4th-12th Graders)

Rule: FIN 2210

10 pages

Fee: \$0

Total Fee: \$0

Attention: Melanie Mortimer

The material submitted appears consistent with applicable standards.

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